

FORM PTO 1390  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

HAMMON-002

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**09/936721**INTERNATIONAL APPLICATION NO.  
PCT/EP00/02407INTERNATIONAL FILING DATES  
17 March 2000PRIORITY DATE CLAIMED  
18 March 1999

TITLE OF INVENTION ARTIFICIAL URINARY DIVERSION SYSTEM

APPLICANT(S)  
FOR DO/EO/US

Helmut WASSERMANN, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not transmitted by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). (Unexecuted)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. w/PTO-1449, \_\_\_ references
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 & 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Copy of International Application as published (In German)  
Copy of International Search Report (In German)**EXPRESS MAIL LABEL NO. EL804522344US****DATE:** September 17, 2001

0936721.124901

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|---|---|--|
| U.S. APPLICATION NO. <b>09/936721</b><br><small>(Unknown, see 37 CFR 1.5)</small>   | INTERNATIONAL APPLICATION NO.<br>PCT/EP00/02407 | ATTORNEY'S DOCKET NUMBER<br>HAMMON-002   |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br><div style="margin-left: 20px;"> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00<br/> <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00<br/> <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00<br/> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00<br/> <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00         </div> |   | <b>CALCULATIONS</b> PTO USE ONLY<br><div style="border: 1px solid black; height: 100px; width: 100%;"></div> |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |   | 860.00   |
| Surcharge of <u>\$130.00</u> for furnishing the oath or declaration later than<br><input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  |   |  |
| <b>CLAIMS</b>   | <b>NUMBER FILED</b>                             | <b>NUMBER EXTRA</b>  |
| Total claims  | 29 - 20   | 9  |
| Independent claims  | 1 - 3 =   | 1  |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)   |   | + \$270.00   |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |   | 1,022.00   |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |   | -511.00  |
| <b>SUBTOTAL =</b>   |   | 511.00   |
| Processing fee of <u>\$130.00</u> for furnishing the English translation later than<br><input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +   |   | 130.00   |
| <b>TOTAL NATIONAL FEE =</b>   |   | 641.00   |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) +<br>( \$40.00 per property).  |   | +  |
| <b>TOTAL FEES ENCLOSED =</b>  |   | 641.00   |
|   |   | <b>Amount to be:</b><br><b>Refunded</b><br><hr/> <b>Charged</b>  |
| a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.<br>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-1095</u> in the amount of <u>\$ 641.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. <u>12-1095</u> . A duplicate copy of this sheet is enclosed.   |   |  |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b><br><b>SEND ALL CORRESPONDENCE TO:</b><br><br><div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <b>Lerner, David, Littenberg,<br/>Krumholz &amp; Mentlik, LLP</b><br/>           600 South Avenue West<br/>           Westfield, NJ 07090<br/>           Telephone 908 654-5000<br/>           Facsimile 908 654-7866         </div> <div style="width: 45%; text-align: center;"> <br/>           _____<br/>           Signature<br/><br/> <b>ARNOLD H. KRUMHOLZ</b><br/>           _____<br/>           Name<br/> <b>25,428</b><br/>           _____<br/>           Registration Number         </div> </div>   |   |  |

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